1. When the new forms come out, will NCDPI develop a new Record Review Protocol to use as we monitor internally? The latest one given to us was in 2013. Policy has not changed, but the current protocol is specific to the current forms and uses DEC #s, etc.

Yes. A new record review protocol will be developed for monitoring during Program Compliance Reviews. The transition from old to new protocol and from CECAS to ECATS will be carefully considered when completing the current five-year monitoring cycle. LEAs monitored during the transition to ECATS year (2018-2019) will be given special consideration for the time frame in which files are selected for review.

2. Are eligibility worksheets required to be completed at reevaluation when no testing is being completed?

No eligibility worksheet required. But all eligibility documentation, including reports, should be in student record. Our guidance would be to ensure all eligibility components are in the record and are current and relevant/ These data can and should be reviewed through the review of existing data during the reevaluation process.

However, any new evaluations and/or screenings presented to the IEP Team during the reevaluation process, including those presented by the family, must be documented on a new Eligibility Worksheet.

3. If a student transfers into an LEA and has suspension days from their previous school, do we count those days at the new LEA (suspension days are within the same school year)?

Yes. If the student has suspensions during the same school year as the transfer, those days of removal are still counted.

4. When listing the requested assessments/information on the DEC 7 or DEC 1, should you list specifically such as vision, hearing, medical? If you check physical health or any other other area such as social appraisal without listing the elements requested specifically on the DEC 1 or 7, do you have to gather all the information listed in the area of request?

No. However, IEP Teams must conduct the assessments in Policy for the required evaluations/screenings in the area(s) of suspected disability.

If there is concern regarding whether or not a parent understands the consent they are providing, IEP Teams are encouraged to be explicit in the type of consent requested. This can be achieved by documenting in the prior written notice. For example, “The consent for Physical Health is limited to vision and hearing screening.”